

Date: 19 September 2018



**District Council**

Town Hall, Penrith, Cumbria CA11 7QF

Tel: 01768 817817

Email: [cttee.admin@eden.gov.uk](mailto:cttee.admin@eden.gov.uk)

Dear Sir/Madam

## **Accounts and Governance Committee Agenda - 27 September 2018**

Notice is hereby given that a meeting of the Accounts and Governance Committee will be held at 6.45 pm on Thursday, 27 September 2018 at the Council Chamber, Town Hall, Penrith.

### **1 Apologies for Absence**

### **2 Minutes of Previous Meeting**

To sign the minutes AGc/14/07/18 to AGc/19/07/18 of the meeting of this Committee held on 26 July 2018 as a correct record of those proceedings (copies previously circulated).

### **3 Declarations of Interest**

To receive declarations of the existence and nature of any private interests, both disclosable pecuniary and any other registrable interests, in any matter to be considered or being considered.

### **4 External Review of the Council's Internal Audit Service (Pages 5 - 16)**

To consider report F58/18 from the Director of Finance which is attached and which seeks to advise Members of the process to secure the external review of the Council's Internal Audit service, as required by Public Sector Internal Audit Standards (PSIAS).

RECOMMENDATIONS that:

1. The appointment of the Chartered Institute of Public Finance and Accountancy to carry out an External Assessment of the Internal Audit service be noted;
2. The action taken to date and proposed method of assessment and scope of the proposed external assessment be approved; and

3. This Committee will receive the review report at its meeting on 29 November 2018.

**5 Annual Review of the Anti-Fraud, Theft, Bribery and Corruption Strategy and Response Plan** *(Pages 17 - 44)*

To consider report F60/18 from the Director of Finance which is attached and which seeks to undertake the annual review to the Council's existing Anti-Fraud, Theft, Bribery and Corruption Strategy and Response Plan.

RECOMMENDATION that Members approve the updated draft Anti-Fraud, Theft, Bribery and Corruption Strategy and Response Plan.

**6 Internal Audit: Implementation of Recommendations** *(Pages 45 - 52)*

To consider report F61/18 from the Director of Finance which is attached and which sets out progress on implementing the agreed recommendations from the internal audit reports.

RECOMMENDATION that progress against the agreed recommendations is noted.

**7 Internal Audit: Completed Reports and Progress against Annual Plan** *(Pages 53 - 78)*

To consider report F65/18 from the Director of Finance which is attached and which seeks to advise Members of the findings and recommendations of reports that have recently been completed by the Council's Internal Audit Services, and advises Members of progress against the agreed Annual Plan for 2018-2019.

RECOMMENDATION that the contents of the report are noted.

**8 Annual Fraud Report 2017-2018** *(Pages 79 - 84)*

To consider report F66/18 from the Director of Finance which is attached and which seeks to give Members an update on how the Council has sought to detect and prevent fraud in 2017-2018, and also includes information that meets the mandatory disclosure requirements of the Local Transparency Code 2015.

RECOMMENDATION that the report be noted.

**9 External Audit Reports** *(Pages 85 - 100)*

To consider report F67/18 from the Director of Finance which is attached and which sets out one report from the Council's External Auditor, Grant Thornton.

RECOMMENDATION that the report of the External Auditor be noted.

**10 Complaints and Compliments - Ombudsman's Annual Report and the Council's own Procedure** *(Pages 101 - 120)*

To consider report G87/18 from the Deputy Chief Executive which is to attached and which advises Members of the contents of the annual report which has been received from the Local Government and Social Care Ombudsman (the Ombudsman) upon complaints relating to the Council for the year ended 31 March 2018 and on complaints raised under the Council's own procedure.

RECOMMENDATION that Members note the comments made by the Local Government and Social Care Ombudsman in the Annual Report

## **11 Review of the Constitution** *(Pages 121 - 124)*

To consider report G86/18 from the Deputy Chief Executive which is attached and which seeks to enable consideration to be given to carrying out a review of the Constitution.

RECOMMENDATIONS that:

1. A review of the Constitution be carried out; and
2. A Panel be established comprising three Members of this Committee to report back with recommendations on any necessary changes to the Constitution.

## **12 Request for Dispensation** *(Pages 125 - 128)*

To consider report G88/18 from the Deputy Chief Executive which is attached and which advises Members of a request for a dispensation to a Member who is currently unable to attend any Council meetings due to illness and ask them to endorse the request.

RECOMMENDATION that the Committee grants a dispensation for Councillor Trevor Ladhams from attending meetings of the Council by reason of illness for a period of six months commencing on 10 September 2018. Any extension to the dispensation to be subject to a further approval by the Committee at the appropriate time.

## **13 Any Other Items Which the Chairman Decides are Urgent**

## **14 Date of Next Scheduled Meeting**

The next scheduled meeting of this Committee to be confirmed as 29 November 2018.

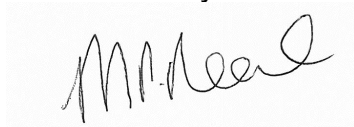
## **15 Exclusion of Press and Public**

To consider whether, in accordance with Section 100A(4) of the Local Government Act 1972, members of the public (including the press) should be excluded from the meeting during discussion of the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in paragraph 3 of Part 1 to Schedule 12A of the Act

## **16 Internal Audit: Completed Reports and Progress against Annual Plan** (Pages 129 - 138)

This appendix sets out information supporting report F65/18 from the Director of Finance which is exempt under Paragraph 3 of Part 1 of Schedule 12A of the Local Government Action 1972.

Yours faithfully



M Neal  
Deputy Chief Executive (Monitoring Officer)

Democratic Services Contact: Vivien Little

Encs

### **For Attention**

All members of the Council

**Chairman** – Councillor A Connell (Liberal Democrat Group)

**Vice Chairman** – Councillor K Greenwood (Independent Group)

### **Councillors**

P Godwin, Independent Group

A Hogg, Conservative Group

S Jackson, Conservative Group

V Kendall, Conservative Group

J C Lynch, Conservative Group

R Orchard, Conservative Group

V Taylor, Liberal Democrat Group

### **Standing Deputies**

G Nicolson OBE, Conservative Group

J Raine, Conservative Group

M Robinson, Independent Group

M Rudhall, Liberal Democrat Group

J Tompkins, Liberal Democrat Group

M Tonkin, Independent Group

### **Please Note:**

- 1. Access to the internet in the Council Chamber and Committee room is available via the guest wi-fi – no password is required**
- 2. Under the Openness of Local Government Bodies Regulations 2014 this meeting has been advertised as a public meeting (unless stated otherwise) and as such could be filmed or recorded by the media or members of the public**